

# Resistance forms, quasisymmetric maps and heat kernel estimates

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# 1 Introduction

$(X, d, \mu)$ : a metric measure space

$X$ : a set,  $d$ : a metric on  $X$ ,  $\mu$ : a Borel regular measure on  $(X, d)$

A heat equation on  $X$ :  $\frac{\partial u}{\partial t} = Lu$ ,  $L$  is a “Laplacian” on  $X$

$\Downarrow$   
Heat kernel:  $p(t, x, y)$ ,  $t > 0, x, y \in X$ .

$$\begin{array}{c} u(t, x) \\ \parallel \\ \int_X p(t, x, y) u_0(y) \mu(dy) \\ \parallel \\ E_x(u_0(X_t)) \end{array}$$

Transition density:  $p(t, x, y)$

$\Uparrow$   
 $(\{X_t\}_{t>0}, \{P_x\}_{x \in X})$ : a Markov process ( Hunt process ) on  $X$

$\Uparrow$   
A regular Dirichlet form  $(\mathcal{E}, \mathcal{F})$ : a quadratic form on  $L^2(X, \mu)$  with the “Markov” property

$$\mathcal{E}(u, v) = - \int_X u(Lv) d\mu$$

## Heat kernel estimates:

(1) **Brownian motion on  $\mathbb{R}^n$**   $\leftrightarrow$  the heat equation:  $\frac{\partial u}{\partial t} = c \sum_{i=1}^n \frac{\partial^2 u}{\partial x_i^2}$

$$\text{Gaussian : } p(t, x, y) = \frac{c_1}{t^{n/2}} \exp \left( -c_2 \frac{|x-y|^2}{t} \right)$$

(2) **Riemannian manifold:** Li-Yau(1986)

(X, d): complete Riemannian manifold with the Ricci curvature  $\geq 0$

$$p(t, x, y) \approx \frac{c_1}{V_d(x, t^{1/2})} \exp \left( -c_2 \frac{d(x, y)^2}{t} \right),$$

where  $V_d(x, r)$ : the volume of a Ball =  $\mu(B_d(x, r))$ ,

$B_d(x, r) = \{y | d(x, y) < r\}$ .

(3) **Brownian motions on Fractals:**

Sierpinski gasket (Barlow-Perkins), Sierpinski carpet (Barlow-Bass)

$$\text{sub-Gaussian : } p(t, x, y) \approx \frac{c_1}{t^{\alpha/\beta}} \exp \left( -c_2 \left( \frac{d(x, y)^\beta}{t} \right)^{1/(\beta-1)} \right)$$

$\beta > 2$ : the [walk dimension](#),  $\alpha$ : the [Hausdorff dimension](#)

(4) **the Li-Yau type sub-Gaussian (LY):**

$$p(t, x, y) \approx \frac{c_1}{V_d(x, t^{1/\beta})} \exp \left( -c_2 \left( \frac{d(x, y)^\beta}{t} \right)^{1/(\beta-1)} \right)$$

General “desirable” estimate for diffusion processes

(5)  $\alpha$ -stable process on  $\mathbb{R}^n$ :  $\alpha \in (0, 2)$

↑

Jump process (Paths of the process are not continuous.)

$$\mathcal{E}^{(\alpha)}(u, u) = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} \frac{|u(x) - u(y)|^2}{|x - y|^{n+\alpha}} dx dy = \int_{\mathbb{R}^n} u(x) ((-\Delta)^{\alpha/2} u)(x) dx$$

Laplacian  $L = -(-\Delta)^{\alpha/2}$ : not a local operator

$$p(t, x, y) \approx \min \left\{ t^{-n/\alpha}, \frac{t}{|x - y|^{n+\alpha}} \right\}$$

Convention:  $f, g : X \rightarrow [0, \infty)$ .  $f \asymp g \stackrel{\text{def}}{\Leftrightarrow} \exists c_1, c_2 > 0$  such that

$$c_1 f(x) \leq g(x) \leq c_2 f(x)$$

## Aim of Study 1: Intrinsic meatic

The original metric is **not** always the best.

“Good” heat kernel estimate may not always hold under the original metric  $d$ . There may exist a metric which is suitable for describing asymptotic behaviors of the heat kernel.

**When** and **How** can we find such a metric?

## Aim of Study 2: Regulation of Jumps

If the process is not diffusion, the jumps may cause troubles to describe asymptotic behaviors.

**How can we regulate Jumps?**

We will study those problems in the case of

Hunt processes associated with **resistance forms**.

↑

strongly recurrent Hunt process

Capacity of a point is positive

## Definition of resistance forms

**Definition 1.1.**  $X$ : a set.

$(\mathcal{E}, \mathcal{F})$  is called a **resistance form** on  $X \stackrel{\text{def}}{\Leftrightarrow}$  (RF1) through (RF5) hold.

(RF1)  $\mathcal{F}$  is a linear subspace of  $\ell(X)$ ,  $1 \in \mathcal{F}$ ,

$\mathcal{E} : \mathcal{F} \times \mathcal{F} \rightarrow \mathbb{R}$ , non-negative symmetric

$\mathcal{E}(u, u) = 0$  if and only if  $u$  is constant on  $X$ .

(RF2) Let  $\sim$  be an equivalent relation on  $\mathcal{F}$  defined by  $u \sim v$  if and only if  $u - v$  is constant on  $X$ . Then  $(\mathcal{F}/\sim, \mathcal{E})$  is a Hilbert space.

(RF3)  $x \neq y \Rightarrow \exists u \in \mathcal{F}$  such that  $u(x) \neq u(y)$ .

(RF4) For any  $x, y \in X$ ,

$$R_{(\mathcal{E}, \mathcal{F})}(x, y) = \sup \left\{ \frac{|u(x) - u(y)|^2}{\mathcal{E}(u, u)} : u \in \mathcal{F}, \mathcal{E}(u, u) > 0 \right\} < +\infty$$

(RF5) **Markov property**: Define  $\bar{u}$  by

$$\bar{u}(p) = \begin{cases} 1 & \text{if } u(p) \geq 1, \\ u(p) & \text{if } 0 < u(p) < 1, \\ 0 & \text{if } u(p) \leq 0. \end{cases}$$

Then  $\bar{u} \in \mathcal{F}$  and  $\mathcal{E}(\bar{u}, \bar{u}) \leq \mathcal{E}(u, u)$  for any  $u \in \mathcal{F}$ .

$R_{(\mathcal{E}, \mathcal{F})}(x, y)$ : the **resistance metric** on  $X$  associated with  $(\mathcal{E}, \mathcal{F})$

**Theorem 1.2.**  $R_{(\mathcal{E}, \mathcal{F})}(\cdot, \cdot)$  is a metric on  $X$ . For any  $u \in \mathcal{F}$ ,

$$|u(x) - u(y)|^2 \leq R_{(\mathcal{E}, \mathcal{F})}(x, y) \mathcal{E}(u, u)$$

for any  $x, y \in X$ .

For simplicity, we use  $R(x, y)$  instead of  $R_{(\mathcal{E}, \mathcal{F})}(x, y)$ .

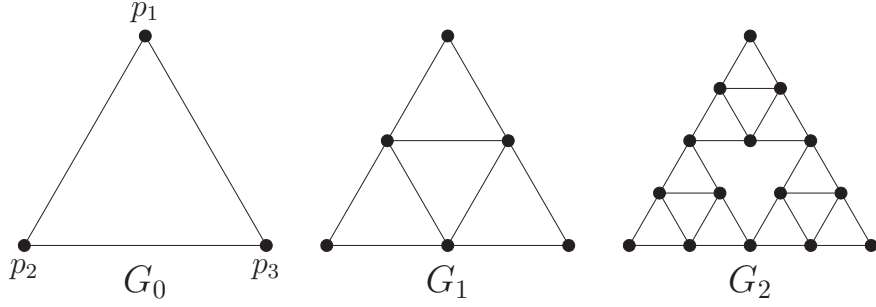


Figure 1: Approximation of the Sierpinski gasket by graphs  $G_m$

## Examples of resistance forms

- (1) 1-dim. Brownian motion:

$$\mathcal{E}(u, v) = \int_{\mathbb{R}} \frac{du}{dx} \frac{dv}{dx} dx$$

$$\mathcal{F} = \{u \mid \mathcal{E}(u, u) < +\infty\} = H^1(\mathbb{R})$$

$$R(x, y) = |x - y|$$

- (2) Standard resistance form on the Sierpinski gasket: For  $i = 1, 2, 3$ ,

$$F_i(z) = (z - p_i)/2 + p_i$$

$K$ : the Sierpinski gasket

$$K = F_1(K) \cup F_2(K) \cup F_3(K)$$

$$V_0 = \{p_1, p_2, p_3\}$$

$$V_{m+1} = F_1(V_m) \cup F_2(V_m) \cup F_3(V_m)$$

$$\mathcal{E}_m(u, u) = \frac{1}{2} \sum_{(p, q) \text{ is an edge of the Graph } G_m} \left(\frac{5}{3}\right)^m (u(p) - u(q))^2$$

$$\mathcal{F} = \{u \mid \lim_{m \rightarrow \infty} \mathcal{E}_m(u, u) < +\infty\}$$

$$\mathcal{E}(u, v) = \lim_{m \rightarrow \infty} \mathcal{E}_m(u, v)$$

$(\mathcal{E}, \mathcal{F})$ : the standard resistance form on  $K$

$$R(x, y) \asymp |x - y|^{(\log 5 - \log 3) / \log 2}$$

(3) **Random walks on (weighted) graphs**  $(V, C)$ :

$V$ : a countable set,

$\{C(x, y)\}_{x, y \in V}$ : the **conductances**,  $C(x, y) = C(y, x) \geq 0$ ,  $C(x, x) = 0$

Assume that

**Locally finite**:  $\{y | C(x, y) > 0\}$  is finite

**Connected(irreducible)**: For any  $x, y \in V$ ,  $\exists \{x_1, \dots, x_n\}$  such that  $x_1 = x, x_n = y$  and  $C(x_i, x_{i+1}) > 0$  for any  $i$

**Random walk associated with**  $(V, C)$ :

$$C(x) = \sum_y C(x, y): \text{the weight of } x$$

$$P(x, y) = \frac{C(x, y)}{C(x)}: \text{the transition probability from } x \text{ to } y$$

$$P^n(x, y) = \sum_{z \in V} P^{n-1}(x, z)P(z, y): \text{the transition probability at the time } n$$

$P^n(x, y)$ : the “**heat kernel**” associated with the random walk

**Resistance form associated with**  $(V, C)$ :

$$\mathcal{F} = \{u | u : V \rightarrow \mathbb{R}, \sum_{x, y} C(x, y)(u(x) - u(y))^2 < +\infty\}$$

$$\mathcal{E}(u, v) = \frac{1}{2} \sum_{x, y} C(x, y)(u(x) - u(y))(v(x) - v(y)).$$

$(\mathcal{E}, \mathcal{F})$  is a resistance form on  $V$ .

Barlow-Coullhon-Kumagai: relations between the heat kernel estimate and the geometric property of the resistance metric



**Plan:** to find a metric  $d$  which satisfies  $(\text{RVD})_\beta$ :

$$\text{Resistance} \times \text{Volume} \asymp (\text{Distance})^\beta,$$

↓

**“good” heat kernel estimate**

(This “principle” is known to work well for other situations as well.)

To preserve some desirable properties of the resistance form, we require

$d$ : **quasisymmetric** with respect to  $R$ .

**Quasisymmetric maps** (QS maps for short): Tukia & Väisälä

↑

a generalization of quasiconformal functions on  $\mathbb{C}$

**Definition 1.3.**  $(X, d)$  and  $(X, \rho)$ : metric spaces

$\rho$ : **quasisymmetric**, or QS for short, with respect to  $d \stackrel{\text{def}}{\Leftrightarrow}$

$\exists$  a homeomorphism  $h : [0, \infty) \rightarrow [0, \infty)$  such that  $h(0) = 0$  and

$$d(x, z) < td(x, y) \Rightarrow \rho(x, z) < h(t)\rho(x, y)$$

We write  $\rho \underset{\text{QS}}{\sim} d$ .

Fact:  $\rho \underset{\text{QS}}{\sim} d \Leftrightarrow d \underset{\text{QS}}{\sim} \rho$ .

## Regulation of Jumps: Annulus comparable condition

$(\mathcal{E}, \mathcal{F})$ : a resistance form on  $X$

$(\mathcal{E}, \mathcal{F})$  is **local**  $\stackrel{\text{def}}{\Leftrightarrow} \mathcal{E}(u, v) = 0$  if  $\inf\{R(x, y) \mid x \in \text{supp}(u), y \in \text{supp}(v)\} > 0$

$\Downarrow$   
No Jumps

**Definition 1.4.**  $(\mathcal{E}, \mathcal{F})$  satisfies the **Annulus Comparable Condition, (ACC)** for short,  $\stackrel{\text{def}}{\Leftrightarrow} (X, R)$  is uniformly perfect and  $\exists \epsilon > 0$  such that

$$R(x, B_R(x, r)^c) \asymp \boxed{R(x, \overline{B_R(x, (1 + \epsilon)r}) \cap B_R(x, r)^c)} \quad (1.1)$$

$\uparrow$   
**Annulus**

for any  $x \in X$  and any  $r > 0$  with  $B_R(x, r) \neq X$ .

$$R(A, B) = \left( \inf\{\mathcal{E}(u, u) \mid u|_A \equiv 1, u|_B \equiv 0, u \in \mathcal{F}\} \right)^{-1}$$

Local  $\Rightarrow$  Equality in (1.1)  $\Rightarrow$  (ACC)

## Volume doubling property

**Definition 1.5.**  $(X, d, \mu)$ : a measure metric space  
 $\mu$  is **volume doubling** with respect to  $d$ ,  $(\text{VD})_d$  for short  $\stackrel{\text{def}}{\Leftrightarrow}$   
 $\exists c > 0$  such that

$$\mu(B_d(x, 2r)) \leq c\mu(B_d(x, r))$$

for any  $r > 0$  and any  $x \in X$ .

(QS) preserves the volume doubling property: if  $d \stackrel{\text{QS}}{\sim} \rho$ , then

$$\mu \text{ is } (\text{VD})_d \Leftrightarrow \mu \text{ is } (\text{VD})_\rho$$

**Conclusion:** (ACC) and  $\mu$  is  $(\text{VD})_R$

$\Updownarrow$   
(ACC) and  $\exists d : d \stackrel{\text{QS}}{\sim} R, \exists \beta > 0$  such that

$$p(t, x, x) \asymp \frac{1}{V_d(x, t^{1/\beta})} : \text{the diagonal heat kernel estimate } (\text{DHK})_\beta,$$

and

$$p(t, x, x) \leq Cp(2t, x, x) : \text{the kernel doubling property (KD)}$$

**Resistance forms**

**Quasisymmetric maps**

**Heat kernel estimate**

## 2 Resistance forms

$(\mathcal{E}, \mathcal{F})$ : a resistance form on a set  $X$

### 2.1 Topology given by a resistance form

$B \subseteq X$ . Define

$$\begin{aligned}\mathcal{F}(B) &= \{u \mid u \in \mathcal{F}, u|_B \equiv 0\} \\ B^\mathcal{F} &= \{x \mid x \in X, u(x) = 0 \text{ for any } u \in \mathcal{F}(B)\}\end{aligned}$$

$\mathcal{C}_\mathcal{F} = \{B \mid B \subseteq X, B^\mathcal{F} = B\}$  satisfies the **axiom of closed sets**.

||  
 **$\mathcal{F}$ -topology**

⇕

**$R$ -topology**: the topology given by the resistance meric  $R$ .

**Proposition 2.1.** (1)  $\mathcal{F}$ -closed  $\Rightarrow R$ -closed

(2) If  $(X, R)$  is compact, then the converse of (1) is also true.

(3) In general, the converse of (1) is not true.

**Notation.**

$C(X) = \{u \mid u \text{ is continuous with respect to } R\text{-topology}\}$

$C_0(X) = \{u \mid u \in C(X), \text{supp}(u) \text{ is } R\text{-compact.}\}$

**Definition 2.2.**  $(\mathcal{E}, \mathcal{F})$  is **regular**  $\stackrel{\text{def}}{\Leftrightarrow}$

$\mathcal{F} \cap C_0(X)$  is dense in  $C_0(X)$  in the sense of  $\|u\|_\infty = \sup_{x \in X} |u(x)|$ .

**Theorem 2.3.**  $(\mathcal{E}, \mathcal{F})$ : regular  $\Leftrightarrow \mathcal{F}$ -topology =  $R$ -topology

In particular,  $(X, R)$ : compact  $\Rightarrow (\mathcal{E}, \mathcal{F})$ : regular

Hereafter, we always assume that  $(\mathcal{E}, \mathcal{F})$  is regular.

## 2.2 Green's function

**Theorem 2.4.**  $B \subseteq X$ : closed

$\exists$  Unique  $g_B : X \times X \rightarrow [0, +\infty)$  with

(GF) Define  $g_B^x(y) = g_B(x, y)$ . Then  $g_B^x \in \mathcal{F}(B)$ . For any  $u \in \mathcal{F}(B)$  and any  $x \in X$ ,

$$\mathcal{E}(g_B^x, u) = u(x)$$

$g_B(x, y)$ : the **Green function** with the boundary  $B$   
or the  $B$ -Green function

$$g_B(x, x) \geq g_B(x, y) \geq 0$$

$$g_B(x, y) = g_B(y, x)$$

$$g_B(x, x) > 0 \Leftrightarrow x \notin B$$

$$|g_B(x, y) - g_B(x, z)| \leq R_B(y, z) \leq R(y, z)$$

Moreover, define

$$\begin{aligned}\mathcal{F}^B &= \mathcal{F}(B) + \mathbb{R} = \{u \mid u \in \mathcal{F}, u \text{ is constant on } B\} \\ X_B &= (X \setminus B) \cup \{B\} : \text{shrinking } B \text{ into a point}\end{aligned}$$

Then  $(\mathcal{E}, \mathcal{F}^B)$  is a resistance form on  $X_B$ .  
 $R_B(\cdot, \cdot)$ : associated resistance metric on  $X_B$ .  
 Then, (due to Metz in case  $B = \{z\}$ ),

$$g_B(x, y) = \frac{R_B(x, B) + R_B(y, B) - R_B(x, y)}{2}$$

↑

Gromov product of the metric  $R_B$

If  $B = \{z\}$ , then  $R_B(x, y) = R(x, y)$ .

In general,

$(X, d)$ : a metric space. Define

$$\begin{aligned}k(x, y) &= \frac{d(x, z) + d(y, z) - d(x, y)}{2} \\ (Au)(x) &= \int_X k(x, y) f(y) \mu(dy)\end{aligned}$$

What is  $A$ ?

### 2.3 Harmonic functions and Traces

$B \subseteq X$ : closed

Define

$$\mathcal{F}|_B = \{u|_B : u \in \mathcal{F}\}.$$

**Proposition 2.5.** For any  $\varphi \in \mathcal{F}|_B$ ,  $\exists$  unique  $f \in \mathcal{F}$  such that  $f|_B = \varphi$  and

$$\mathcal{E}(f, f) = \min_{u \in \mathcal{F}, u|_B = \varphi} \mathcal{E}(u, u)$$

$f$ : the **harmonic function** with boundary value  $\varphi$  on the boundary  $B$   
or the  $B$ -harmonic function with boundary value  $\varphi$ .

Define  $f = h_B(\varphi)$  and  $\mathcal{H}_B = h_B(\mathcal{F}|_B)$ . Then

$$h_B : \mathcal{F}|_B \rightarrow \mathcal{H}_B \subseteq \mathcal{F} \text{ is linear.}$$

$$\mathcal{F} = \mathcal{H}_B \oplus \mathcal{F}(B) \quad (**)$$

$$\begin{array}{c} \uparrow \\ \mathcal{E}(u, v) = 0 \text{ if } u \in \mathcal{H}_B \text{ and } v \in \mathcal{F}(B). \end{array}$$

In the case of Dirichlet forms, analogous decomposition as (\*\*) is known.  
See Fukushima-Oshima-Takeda.

Define

$$\mathcal{E}|_B(\varphi, \psi) = \mathcal{E}(h_B(\varphi), h_B(\psi))$$

for any  $\varphi, \psi \in \mathcal{F}|_B$ . Then

**Proposition 2.6.**  $(\mathcal{E}|_B, \mathcal{F}|_B)$  is a resistance form on  $B$ .

The corresponding resistance metric =  $R|_{B \times B}$ .

$(\mathcal{E}, \mathcal{F})$ : regular  $\Rightarrow (\mathcal{E}|_B, \mathcal{F}|_B)$ : regular

$(\mathcal{E}|_B, \mathcal{F}|_B)$ : the **trace** of  $(\mathcal{E}, \mathcal{F})$  on  $B$ .



## 2.4 Dirichlet form associated with $(\mathcal{E}, \mathcal{F})$

Assume that

$\mu$ : a Radon measure on  $(X, R)$

$0 < \mu(B_R(x, r)) < +\infty$  for any  $x \in X$  and any  $r > 0$ .

Define

$$\begin{aligned}\mathcal{E}_1(u, v) &= \mathcal{E}(u, v) + \int_X uv d\mu \\ \mathcal{D} &= \mathcal{E}_1\text{-closure of } \mathcal{F} \cap C_0(X).\end{aligned}$$

**Theorem 2.7.**

$(\mathcal{E}, \mathcal{F})$ : regular  $\Rightarrow (\mathcal{E}, \mathcal{D})$ : a *regular Dirichlet form* on  $L^2(X, \mu)$

Moreover,  $(\mathcal{E}, \mathcal{F})$ : local  $\Rightarrow (\mathcal{E}, \mathcal{D})$ : local.

a regular Dirichlet form

↓

a Hunt process, i.e. a strong Markov process with right continuous pathes

*local*  $\Rightarrow$  pathes are continuous. (Diffusion)

**Definition 2.8 (Capacity).** (1)  $U \subseteq X$ : open,

$$\text{Cap}U = \inf\{\mathcal{E}_1(u, u) \mid u \in \mathcal{F}, u \geq 1 \text{ on } U\}$$

(2)  $A \subseteq X$ ,

$$\text{Cap}A = \inf\{\text{Cap}U \mid U: \text{open}, A \subseteq U\}$$

**Fact** For any  $x \in X$ ,  $\exists c_x > 0$  such that, for any  $u \in \mathcal{D}$ ,

$$|u(x)| \leq c_x \sqrt{\mathcal{E}_1(u, u)}$$

↓

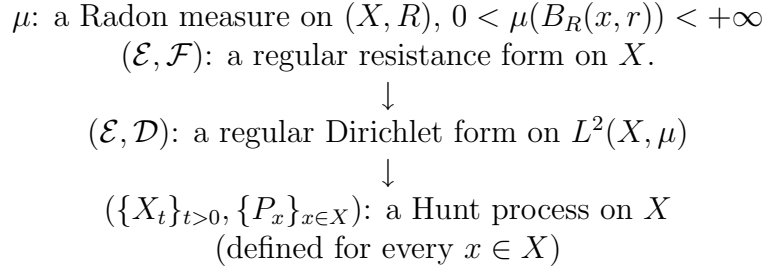
$$K \subseteq X: \text{compact}, 0 < \inf_{x \in K} \text{Cap}\{x\}.$$

↓

the Hunt process is determined for **all**  $x \in X$ .

In general, the Hunt process associated with a regular Dirichlet form is determined up to “exceptional sets”.

## 2.5 Transition density/Heat kernel



**Theorem 2.9.** Assume that  $\overline{B_R(x, r)}$  is compact for any  $x \in X$  and  $r > 0$ .

Then there exists  $p(t, x, y) : (0, \infty) \times X \times X \rightarrow [0, \infty)$ , continuous with

(TD1)  $p^{t,x} \in \mathcal{D}$ , where  $p^{t,x}(y) = p(t, x, y)$ .

(TD2)  $p(t, x, y) = p(t, y, x)$

(TD3) For any measurable  $u \geq 0$ ,

$$E_x(u(X_t)) = \int_X p(t, x, y)u(y)\mu(dy).$$

(TD4)

$$p(t + s, x, y) = \int_X p(t, x, z)p(s, z, y)\mu(dz)$$

$p(t, x, y)$ : the **transition density/heat kernel**

Existence and continuity of the transition density

Chen et al: general regular Dirichlet forms, ultracontractive  $\Rightarrow$  quasicontinuous

Grigor'yan: general regular Dirichlet, locally ultracontractive  $\Rightarrow$  quasicontinuous

Croydon: resistance forms, ultracontractive  $\Rightarrow$  continuous

**Proposition 2.10.** *Without any further assumption,*

$$p(r\mu(B_R(x, r)), x, x) \leq \frac{2 + \sqrt{2}}{\mu(B_R(x, r))}$$

### 3 Goemetry and analysis on $(X, R)$ via quasisymmetric maps

#### 3.1 Exit time, resistance and annulus comparability

**Definition 3.1.**  $(X, d)$ : a metric space

$(X, d)$ : **uniformly perfect**  $\stackrel{\text{def}}{\Leftrightarrow} \exists \epsilon > 0$  such that

$B_d(x, (1 + \epsilon)r) \setminus B_d(x, r) \neq \emptyset$  for any  $x \in X$  and  $r > 0$  with  $X \setminus B_d(x, r) \neq \emptyset$ .

Hereafter,  $(\mathcal{E}, \mathcal{F})$ : a regular resistance form on  $X$

$\mu$ : a random measure on  $(X, R)$

$\overline{B_R(x, r)}$ : compact

↓

$(\mathcal{E}, \mathcal{D})$  a regular Dirichlet form on  $L^2(X, \mu)$

$(\{X_t\}_{t>0}, \{P_x\}_{x>0})$ : regular Hunt process

$p(t, x, y)$ : the transition density

For simplicity, we only give statements the case where  $(X, R)$  is not bounded.

Recall the Annulus comparable condition (ACC):  $\exists \epsilon > 0$  such that

$$R(x, B_R(x, r)^c) \asymp R(x, \overline{B_R(x, (1 + \epsilon)r)} \cap B_R(x, r)^c).$$

**Definition 3.2 (Exit time).**  $A \subseteq X$ ,

$$\tau_A = \inf\{t > 0 | X_t \notin A\}.$$

**Proposition 3.3.**

$$E_x(\tau_A) = \int_X g_{A^c}(x, y) \mu(dy) = \int_A \frac{R_{A^c}(x, A^c) + R_{A^c}(y, A^c) - R_{A^c}(x, y)}{2} \mu(dy)$$

**Theorem 3.4.** *Assume*

$\mu$ : (VD) $_R$ , i.e. *volume doubling with respect to  $R$ ,*

$(X, R)$ : *uniformly perfect*

$d$ : *a metric on  $X$ ,  $d \underset{\text{QS}}{\sim} R$ , i.e.  $d$  is *quasisymmetric with respect to  $R$ .**

*Then*

(ACC)

$\Updownarrow$

**Exit time estimate** (Exit) $_d$ :  $E_x(\tau_{B_d(x, r)}) \asymp \overline{R}_d(x, r) V_d(x, r)$

$\Updownarrow$

**Resistance estimate** (Res) $_d$ :  $R(x, B_d(x, r)^c) \asymp \overline{R}_d(x, r)$ ,

where  $\overline{R}_d(x, r) = \sup_{y \in B_d(x, r)} R(x, y)$ .

Exit time estimate: **Resistance**  $\times$  **Volume**  $\asymp$  **Exit time**

Assume that  $(X, R)$  is uniformly perfect.

**Theorem 3.5.** *If  $d \underset{\text{QS}}{\sim} R$ , (ACC) holds,  $\mu: (\text{VD})_R$ , then*

$$p(\bar{R}_d(x, r)V_d(x, r), x, x) \asymp \frac{1}{V_d(x, r)} : \text{Diagonal estimate}$$

and

$$p(\bar{R}_d(x, r)V_d(x, r), x, y) \geq \frac{c}{V_d(x, r)} : \text{Near diagonal lower estimate}$$

for  $x, y \in X$  with  $d(x, y) \leq cr$ .

In particular, if  $d = R$ , then

$$p(rV_R(x, r), x, x) \asymp \frac{1}{V_R(x, r)}$$

$X$  is a graph, random walk,  $d = R$ : Barlow-Coullhon-Kumagai  
 $d = R$ , continuous: Kumagai

**Observation:** In the diagonal estimate, if  $\bar{R}_d(x, r)V_d(x, r) \asymp r^\beta$ , then

$$p(t, x, x) \asymp \frac{1}{V_d(x, t^{1/\beta})}.$$

Find  $d \underset{\text{QS}}{\sim} R$  such that  $\text{Resistance} \times \text{Volume} = (\text{Distance})^\beta$ :  $(\text{RVD})_\beta$ !!

## 3.2 Construction of quasisymmetric metric

$(X, \rho, \mu)$ : a metric measure space

Assume that  $(X, \rho)$  is uniformly perfect.

**Theorem 3.6.** Fix  $a \geq 0$ .

If  $\mu$ :  $(\text{VD})_\rho$  then, for sufficiently large  $\beta > 0$ ,  $\exists d$ : a metric on  $X$  such that  $\rho \underset{\text{QS}}{\sim} d$  and

$$\rho(x, y)^a V_d(x, d(x, y)) \asymp d(x, y)^\beta \quad (\text{M})$$

(M) is a natural analogue of  $(\text{RVD})_\beta$ .

*Remark.* In the case  $a = 0$ , the above theorem recovers the following famous result:

If  $(X, \rho)$  is uniformly perfect and  $\mu$  is  $(\text{VD})_\rho$ , then there exists a metric  $d$  on  $X$  such that  $d \underset{\text{QS}}{\sim} \rho$  and  $\mu$  is Ahlfors regular, i.e.

$$\mu(B_d(x, r)) \asymp r^\beta$$

For  $\gamma > 0$ , define the condition  $(\text{SD})_\gamma$ : **slow decay of volume**

$\exists \eta : (0, 1] \rightarrow (0, \infty)$ ,  $\eta(\lambda) \downarrow 0$  as  $\lambda \downarrow 0$  monotonically, and, for any  $\lambda \in (0, 1]$ , any  $x, y \in X$ ,

$$\frac{V_d(x, \lambda d(x, y))}{V_d(x, d(x, y))} \geq \frac{\lambda^\gamma}{\eta(\lambda)}$$

**Theorem 3.7.** Fix  $a > 0$ . Assume that  $(X, d)$  is uniformly perfect. Then

$$(\text{SD})_\beta \wedge (\text{M}) \Leftrightarrow \rho \underset{\text{QS}}{\sim} d \wedge (\text{M})$$

$\Downarrow$

$\mu$  is  $(\text{VD})_\rho$  and  $(\text{VD})_d$ .



## 4 Heat kernel estimate

### 4.1 Main Theorems

List of Conditions:

(DHK) $_{d,\beta}$ : the diagonal heat kernel estimate

$$p(t, x, x) \asymp \frac{1}{V_d(x, t^{1/\beta})}$$

(KD): kernel doubling,  $\exists c > 0$ ,

$$p(t, x, x) \leq cp(2t, x, x)$$

(RVD) $_{d,\beta}$ : Resistance  $\times$  Volume = Distance $^\beta$

$$R(x, y)V_d(x, d(x, y)) \asymp d(x, y)^\beta$$

(SD) $_{d,\beta}$ : slow decay of volume

$\exists \eta : (0, 1] \rightarrow (0, +\infty)$ ,  $\eta(\lambda) \downarrow 0$  as  $\lambda \downarrow 0$  monotonically and

$$\frac{V_d(x, \lambda d(x, y))}{V_d(x, d(x, y))} \geq \frac{\lambda^\beta}{\eta(\lambda)}$$

**Theorem 4.1.** *Assume that  $(X, R)$  is uniformly perfect. Then*

$$\begin{array}{c}
 \boxed{(X, d): \text{uniformly perfect} \wedge (\text{SD})_{d,\beta} \wedge (\text{RVD})_{d,\beta}} \\
 \Updownarrow \\
 \boxed{d \underset{\text{QS}}{\sim} R \wedge (\text{RVD})_{d,\beta}} \\
 \Updownarrow \\
 \boxed{d \underset{\text{QS}}{\sim} R \wedge (\text{DHK})_{d,\beta} \wedge (\text{KD})}
 \end{array}$$

Moreover, if  $(\mathcal{E}, \mathcal{F})$  is **local**, then the above set of conditions implies

$$p(t, x, y) \leq \frac{c_1}{V_d(x, t^{1/\beta})} \exp \left( -c_2 \left( \frac{d(x, y)^\beta}{t} \right)^{1/(\beta-1)} \right)$$

If  $(\mathcal{E}, \mathcal{F})$  is **local** and  $d$  is **geodesic**, then

$$\frac{c_3}{V_d(x, t^{1/\beta})} \exp \left( -c_4 \left( \frac{d(x, y)^\beta}{t} \right)^{1/(\beta-1)} \right) \leq p(t, x, y)$$

**Theorem 4.2.** *Assume  $(X, R)$  is uniformly perfect. Then*

$$\begin{array}{c}
 \boxed{\mu: (\text{VD})_R \wedge (\text{ACC})} \\
 \Updownarrow \\
 \boxed{\mu: (\text{VD})_R \wedge R(x, B_R(x, r)^c) \asymp r} \\
 \Updownarrow \\
 \boxed{(\text{ACC}) \wedge \exists d \text{ and } \beta > 0 \text{ such that } d \underset{\text{QS}}{\sim} R, (\text{DHK})_{d, \beta} \text{ and } (\text{KD})}
 \end{array}$$

*Remark.* **local**  $\Rightarrow$  (ACC) and/or  $R(x, B_R(x, r)^c) \asymp r$

## 4.2 Application to traces

Assume that  $(X, R)$  is uniformly perfect.

$B \subseteq X$ : closed

Consider the trace  $(\mathcal{E}|_B, \mathcal{F}|_B)$  of  $(\mathcal{E}, \mathcal{F})$  on  $B$ .

Recall that

$$(\mathcal{E}, \mathcal{F}): \text{regular} \Rightarrow (\mathcal{E}|_B, \mathcal{F}|_B): \text{regular}$$

**Theorem 4.3.** *Assume that  $(B, R|_B)$  is uniformly perfect.*

$$(\text{ACC}) \text{ for } (\mathcal{E}, \mathcal{F}) \Rightarrow (\text{ACC}) \text{ for } (\mathcal{E}|_B, \mathcal{F}|_B).$$

Assumptions:

$(X, R)$  and  $(B, R|_B)$ : uniformly perfect

$(\mathcal{E}, \mathcal{F})$ : regular

(ACC) holds for  $(\mathcal{E}, \mathcal{F})$ .

$B_R(x, r)$ : compact

$\nu$ : a Radon measure on  $(B, R|_B)$

↓

$(\mathcal{E}|_B, \mathcal{D}_B)$ : a regular Dirichlet form on  $L^2(B, \nu)$ .

↓

Transition density:  $p_\nu^B(t, x, y)$  on  $B$

**Theorem 4.4.** *Assume that  $d \underset{\text{QS}}{\sim} R$  and  $(\text{DHK})_{d, \beta}$ .*

*If  $\exists \gamma > 0$  such that*

$$\mu(B_d(x, r)) \asymp r^\gamma \nu(B_d(x, r) \cap B),$$

*then  $\beta > \gamma$  and*

$$p_\nu^B(t, x, x) \asymp \frac{1}{\nu(B_d(x, t^{1/(\beta-\gamma)}) \cap B)}$$

*Moreover, if  $\mu(B_d(x, r)) \asymp r^\alpha$ , then*

$$p_\nu^B(t, x, x) \asymp t^{\frac{\alpha-\gamma}{\beta-\gamma}}.$$

### 4.3 Examples

$\alpha$ -stable process on  $\mathbb{R}^1$ :  $\alpha \in (1, 2]$

$$\begin{aligned}\mathcal{E}^{(\alpha)}(u, v) &= \int_{\mathbb{R}^2} \frac{|u(x) - u(y)|^2}{|x - y|^{1+\alpha}} dx \\ \mathcal{F}^{(\alpha)} &= \{u | u \in C(\mathbb{R}), \mathcal{E}^{(\alpha)}(u, u) < +\infty\} \\ R^{(\alpha)}(x, y) &= c|x - y|^{\alpha-1}\end{aligned}$$

for  $\alpha \in (1, 2)$ . For  $\alpha = 2$ , it corresponds to the Brownian motion on  $\mathbb{R}^1$ .  
(ACC) is OK.

Case 1:  $\mu = dx$  the Lebesgue measure. Then  $\mu$  is  $(VD)_R$ .

$$p(t, x, x) \asymp \frac{1}{t^{1/\alpha}}.$$

Case 2:  $\mu = x^\delta dx$  for  $\delta > -1 \Rightarrow \mu$  is  $(VD)_R$ .

$$p_\mu(t, 0, 0) \asymp t^{-\tau} : \tau = \frac{\delta + 1}{\delta + \alpha}$$

Case 3: Trace onto the middle 3rd Cantor set  $K$ :  
 $\nu$ : the  $\log 3 / \log 2$ -dim. Hausdorff measure on  $K$ . Let  $\mu_*$  be the Lebesgue measure.

$$\begin{aligned}\mu_*(B_R(x, r)) &\asymp r^{\frac{\log 2}{(\alpha-1)\log 3}} \nu(B_R(x, r)) \\ p_\nu^K(t, x, x) &\asymp t^{-\eta} : \eta = \frac{\log 2}{(\alpha - 1)\log 3 + \log 2}\end{aligned}$$

**The standard resistance form on the Sierpinski gasket**

Natural measure  $\mu =$  the  $\log 3/\log 2$ -dim. Hausdorff measure.

$$p(t, x, y) \approx \frac{c_1}{t^{\alpha/\beta}} \exp \left( -c_2 \left( \frac{d(x, y)^\beta}{t} \right)^{1/(\beta-1)} \right),$$

where  $\alpha = \frac{\log 3}{\log 2}, \beta = \frac{\log 5}{\log 2}$  and  $d(x, y) = |x - y| = R(x, y)^{\frac{\log 2}{\log 5 - \log 2}}$ .

Case 1: Change the measure  $\mu$ :

Case 2: Trace onto an Ahlfors  $\delta$ -regular set  $B$ :

$\exists \nu$  on  $Y$  such that

$$\nu(B_d(x, r) \cap B) \asymp r^\delta$$

Then

$$p_\nu^B(t, x, x) \asymp t^{-\eta} : \eta = \frac{\delta \log 2}{\log 5 - \log 3 + \delta \log 2}$$

In particular,  $B =$  the line segment of the outer triangle:  $\delta = 1$

Characterization of  $\mathcal{F}|_B$  as a Besov space: Alf Jonsson

$$\alpha = \frac{\log 5 - \log 3 + \log 2}{\log 2}$$

↓

$\mathcal{F}|_B = \mathcal{F}^{(\alpha)}$  = the domain for the  $\alpha$ -stable process on  $\mathbb{R}$ .

$$\mathcal{E}|_B(u, u) \asymp \mathcal{E}^{(\alpha)}(u, u)$$

But.....